

## CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: May 5, 2004 Name: John C. FreemanSignature: J.C. Freeman

BRINKS  
HOFER  
GILSON  
& LIONE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln of: Michael Brakelmann et al.

U.S. Patent Application Serial  
No.: 10/776,464U.S. Filing  
Date: February 10, 2004For: METHOD FOR THE ORIENTATION OF A SPINDLE  
AND NUMERICAL CONTROL DEVICE OF A  
MACHINE TOOL EMBODIED FOR THE METHOD  
FOR THE ORIENTATION OF THE SPINDLE

Attorney Docket No: 56/426

Group Art Unit: unassigned

Examiner: unassigned

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

## TRANSMITTAL

Sir:

## Attached is/are:

- ☒ Information Disclosure Statement with copies of one (1) cited references and Form 1449  
☒ Return Receipt Postcard

## Fee calculation:

- ☐ No additional fee is required.  
☐ Small Entity.  
☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).  
☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_).  
☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Presentation of Multiple Dep. Claim					+\$145=			+ \$290=	
					Total	\$		Total	\$

**Fee payment:**

- ☐ A check in the amount of \$\_\_\_\_\_ to cover the above-identified fee(s) is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

May 5, 2004  
May 5, 2004

Respectfully submitted,

John C. Freeman  
John C. Freeman (Reg. No. 34,483)



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Commissioner for Patents, P.O. Box 1450  
Alexandria, VA 22313-1450 on May 5, 2004.

Name of Registered Representative:

John C. Freeman  
John C. Freeman, Reg. No. 34,483

May 5, 2004  
Date of Signature

PATENT  
CASE NO. 56/426

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application: )  
)  
Michael Brakelmann et al. )  
) Group Art Unit: unassigned  
)  
Serial No.: 10/776,464 )  
) Examiner: unassigned  
Filed: February 10, 2004 )  
)  
For: METHOD FOR THE )  
ORIENTATION OF A SPINDLE )  
AND NUMERICAL CONTROL )  
DEVICE OF A MACHINE TOOL )  
EMBODIED FOR THE METHOD )  
FOR THE ORIENTATION OF )  
THE SPINDLE )

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with Applicants' duty of disclosure under 37 C.F.R. § 1.56 and in conformance with 37 C.F.R. §§ 1.97-1.98, Applicants hereby submit the following references for consideration by the Examiner. Copies of all of the references, except U.S. Patent No.

5,519,297, are enclosed along with a completed copy of Form PTO-1449. A copy of U.S. Patent No. 5,519,297 is not being supplied pursuant to the waiver of the rules presented in the U.S. Patent and Trademark Office Official Gazette Notice dated August 5, 2003.

**I. DISCLOSURE**

**A. U.S. Patents**

<u>Patent No.</u>	<u>Inventor</u>	<u>Issue Date</u>
5,519,297	Kono et al.	05/21/96

**B. Foreign Patent References**

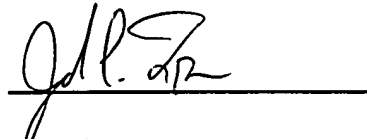
<u>Reference No.</u>	<u>Country</u>	<u>Publication Date</u>
0 580 866 B1	EP	04/16/97

**II. CONCLUSION**

It is believed that none of these references, alone or in combination, disclose or suggest the invention claimed. However, Applicants wish to make it clear that the disclosure of the above references is in no way an admission that they qualify as prior art. It is Applicants' desire, however, to have these references available in the record for both the Examiner and the public to

see. Applicants therefore request that the Examiner review the entire disclosure of each reference and make the above-listed references of record.

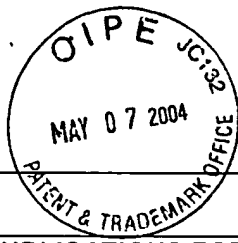
Respectfully submitted,

A handwritten signature in black ink, appearing to read "John C. Freeman", is written over a solid horizontal line.

John C. Freeman  
Registration No. 34,483  
Attorney for Applicants

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Dated: May 5, 2004



FORM PTO-1449	SERIAL NO. 10/776,464	CASE NO. 56/426
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE February 10, 2004	GROUP ART UNIT Unassigned
(use several sheets if necessary)	APPLICANT(S): Michael Brakelmann et al.	

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	5,519,297	05/21/96	Kono et al.		

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A2	0 580 866 B1	04/16/97	EP		NO

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.